

Customer No. 22,852
Attorney Docket No. 07781.0220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thorsten PFERDEKAEMPER et al.

Application No.: **Not Yet Assigned**

Filed: **March 7, 2005**

National Stage of International Application No.
PCT/EP2003/009827 under 35 U.S.C. 371,
for **METHODS AND SYSTEMS FOR**
CONTROLLING ACCESS TO A DATA
OBJECT BY MEANS OF LOCKS

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) **Group Art Unit: Not Yet Assigned**
)
) **Examiner: Not Yet Assigned**
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. With the exception of the U.S. patent, copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form. This Information Disclosure Statement is being filed with the above-referenced application.

The following is listed on the accompanying PTO/SB/08 and is in a non-English language:

1. HELMUT, S., "Datenarchivierung mit SAP", Galileo Pres GmbH, Bonn 2002, ISBN 3-89842-212-7 - The relevance of this document is discussed at page 1 of the specification of the present application.

In lieu of a statement of relevance or the translation of the non-English document, enclosed is an English-language international search report from the European Patent Office in the PCT international application, from which this national phase U.S. application is derived, citing this document and setting forth the relevance thereof.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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DT12 PCT/PTO 07 MAR 2005
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If there is any fee due in connection with the filing of this Statement, please
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 7, 2005

By: 
Ernest F. Chapman
Reg. No. 25,961

Enclosures
EFC/FPD/sci

IDS Form PTO/SB/08: Substitute for form PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Com 10/526750 if Known	
Sheet	1	of	1	Application Number	Not Yet Assigned
				Filing Date	March 4, 2005
				First Named Inventor	Thorsten PFERDEKAEMPER et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	07781.0218

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-5,566,319	10-15-1996	LENZ	
		US-			
		US-			

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0 499 422 A2/A3	08-19-1992	MOHAN et al.		
		EP 1 283 477 A1	02-12-2003	PFEIFER et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
		STEFANI, H. "Datenarchivierung mit SAP", SAP Press, Galileo Pres GmbH, Bonn, XP002266517, ISBN: 3-89842-212-7, pp. 35-40, 57, 63-75, 84, 85, and 211-212, (2002)	
		TANEBAUM, A. S., "Synchronization in Distributed Systems", Modern Operating Systems, Prentice-Hall International, Inc., New Jersey, USA, XP-002266518, ISBN: 0-13-595752-4, pp. 494-496, (1992).	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.